

UTTAM SUGAR MILLS LIMITED

Registered Office :- Village Libberheri, Roorkee, District -Haridwar, Uttarakhand – 247667

Corp. Office - A-2E, IIIrd Floor, CMA Tower, Sector 24, Noida – 201 301 (Uttar Pradesh)

Email :- gramarathnam_uttam@yahoo.com

CIN:-L99999UR1993PLC032518

Website :-www.uttamsugar.in

A. Compliance Report on Corporate Governance

1. Name of Listed Entity : Uttam Sugar Mills Limited
 2. Quarter ending : 31.12.2025

I. Composition of Board of Directors

Title (Mr. / Ms.)	Name of the Directors	PAN & DIN	Category (Chairperson/ Executive/ Non- Executive/ Independent/ Nominee	Disqualification of Directors under section 164 of the Companies Act, 2013					Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of Appointment	Date of Appointm ent in Current Term (Date of Re- appointme nt)	Date of cessation	Tenure*	Date of Birth	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No. of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)& 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
				Whether the director is disqualifie d?	Start Date of disqualific ation	End Date of disqualific ation	Details of disquali fication	Current status											
Mr.	Raj Kumar Adlakha	PAN-AJZPK7896C DIN-00133256	Managing Director	No	-	-	-	Active	-	-	28.07.1998	01.04.2024	-	-	22-01-1956	1	-	-	-
Mr.	Shankar Lal Sharma	PAN-AGMPS8068E DIN-09018381	Executive Director	No	-	-	-	Active	-	-	07.01.2021	01.01.2024	-	-	10-08-1973	1	-	1	-
Mr.	Gurbachan Singh Matta	PAN-AAEPM6860C DIN-02612602	Non-Executive Non- Independent Director	No	-	-	-	Active	Yes	19.09.2025	30.09.2020	30.09.2025	-	-	24-03-1948	1	-	2	1
Mr.	Jasbir Singh	PAN- AAAPS5546F DIN-08897793	Non-Executive Independent Director	No	-	-	-	Active	Yes	19.09.2025	30.09.2020	30.09.2025	-	5 Years and 3 Months	20-08-1947	1	1	2	-
Mr.	Ravi Kumar	PAN- ABYPK3865E DIN-02362615	Non-Executive Independent Director	No	-	-	-	Active	-	-	10.08.2022	10.08.2022	-	3 Years and 4 Months	15-04-1961	3	3	4	2
Mrs.	Anju Sethi	PAN-AFYPS3344G DIN-10746144	Non-Executive Independent Director	No	-	-	-	Active	-	-	18.09.2024	18.09.2024	-	1 Year and 3 Months	15-03-1956	1	1	-	-

Whether Regular Chairperson appointed

Yes

Whether Chairperson is related to managing Director or CEO

Yes

* Note: Tenure of Independent Director is calculated on the basis of period from which Independent Director is serving on the Board till the date of relevant quarter. (i.e. from the date of appointment of Director as Independent Director appointed as per the Companies Act, 2013 till December, 2025 Quarter.)



II. Composition of Committees							
Name of Committee	Whether Regular Chairperson appointed	Name of Committee members	Category (Chairperson/ Executive/Non-Executive/ Independent/ Nominee)		Date of Appointment	Date of Cessation	
1. Audit Committee	Yes	1. Mr. Ravi Kumar (Chairperson) 2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Matta	Non-Executive Independent Director Non-Executive Independent Director Non-Executive Non-Independent Director		19.09.2024 30.09.2020 30.09.2020	- - -	
2. Nomination & Remuneration Committee	Yes	1. Mr. Jasbir Singh (Chairperson) 2. Mrs. Anju Sethi 3. Mr. Gurbachan Singh Matta	Non-Executive Independent Director Non-Executive Independent Director Non-Executive Non- Independent Director		30.09.2020 19.09.2024 30.09.2020	- - -	
3. Risk Management Committee	Yes	1. Mr. Shankar Lal Sharma (Chairperson) 2. Mrs. Anju Sethi 3. Mr. Sanjay Bhandari	Executive Director Non-Executive Independent Director Chief Financial Officer		16.05.2022 19.09.2024 16.05.2022	- - -	
4. Stakeholders Relationship Committee	Yes	1. Mr. Gurbachan Singh Matta (Chairperson) 2. Mr. Shankar Lal Sharma 3. Mr. Jasbir Singh	Non-Executive Non-Independent Director Executive Director Non-Executive Independent Director		30.09.2020 19.09.2024 30.09.2020	- - -	
5. Corporate Social Responsibility Committee	Yes	1. Mr. Raj Kumar Adlakha (Chairperson) 2. Mr. Shankar Lal Sharma 3. Mrs. Anju Sethi	Managing Director Executive Director Non-Executive Independent Director		14.05.2014 07.01.2021 19.09.2024	- - -	
III. Meeting of Board of Directors							
Date (s) of Meeting in the previous quarter	Date (s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Total Number of Directors as on date of the meeting	No. of Directors Present (all Directors including Independent Directors)	No. of Independent Directors attending the meeting	Maximum gap between any two consecutive meetings (in number of days)	
13 th August, 2025	13 th November, 2025	Yes	6	6	3	91 days	
IV. Meeting of Committees							
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Total Number of Directors/Members in the committee as on date of the meeting	No. of Directors present (all Directors including Independent Directors)	No. of Independent Directors attending the meeting	No. of members attending the meeting (other than Board of Directors)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
A. <u>Audit Committee</u> 13 th November, 2025	Yes <u>Present:</u> 1. Mr. Ravi Kumar 2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Matta	3	3	2	-	13 th August, 2025	91 days
B. <u>Nomination & Remuneration Committee</u> -	-	-	-	-	-	13 th August, 2025	-
C. <u>Risk Management Committee</u> 13 th November, 2025	Yes 1. Mr. Shankar Lal Sharma (Chairperson) 2. Mrs. Anju Sethi 3. Mr. Sanjay Bhandari	3	2	1	1	-	-



D. Stakeholders Relationship Committee	Yes						
13 th November, 2025	Present: 1. Mr. Gurbachan Singh Matta 2. Mr. Shankar Lal Sharma 3. Mr. Jasbir Singh	3	3	1	-	13 th August, 2025	91 days
E. Corporate Social Responsibility Committee							
-	-	-	-	-	-	-	-

V. Affirmations
1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. 2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. a. Audit Committee b. Nomination & Remuneration Committee c. Stakeholders Relationship Committee d. Risk Management Committee e. Corporate Social Responsibility Committee 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015. 4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. 5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here.

VI. Details of Cyber Security Incidence	Yes /No
Whether as per Regulation 27(2) (ba) of SEBI (LODR) Regulations, 2015 there has been cybersecurity incidents or breaches, or loss of data or documents during the quarter	No

FOR UTTAM SUGAR MILLS LTD.



(RAJESH GARG)
COMPANY SECRETARY & COMPLIANCE OFFICER



B. Investor Grievance Redressal report

<u>Investor Grievance Redressal report</u>	
No. of investor complaints pending at the beginning of the quarter	Nil
No. of investor complaints received during the quarter	Nil
No. of investor complaints disposed-off during the quarter	Nil
No. of investor complaints those remaining unresolved at the end of the quarter	Nil

C. Disclosure of acquisition of shares or voting rights in Unlisted Companies

The details of acquisition of shares or voting rights in unlisted companies during the quarter in terms of sub-para 1 of para A of Part A of Schedule III are given below:

Sr. No.	Name of the unlisted company in which shares or voting rights have been acquired	Date of acquisition	Aggregate holding (% shares or voting rights) as at the end of the previous quarter	% shares or voting rights acquired during the quarter	Aggregate holding (% shares or voting rights) as at the end of the quarter
1	Uttam Distilleries Limited	-	83.73	-	83.73



D. Disclosure of Imposition of Fine or Penalty

The details of imposition of fine or penalty during the quarter in terms of sub-para 20 of para-A of Part A of Schedule III are given below:

S. No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	Details of the violation(s)/contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
-	-	-	-	-	-

E. Disclosure of updates to ongoing tax litigations or disputes

The updates on tax litigations or disputes in terms of sub-para 8 of para-B of Part A of Schedule III read with corresponding provisions of Annexure 18 of the Master Circular are given below:

S. No.	Name of the opposing party	Date of initiation of the litigation / dispute	Status of the litigation/dispute as per last disclosure	Current status of the litigation / dispute
-	-	-	-	-

